

08-21-01

H

08/20/01

11131 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

For new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No. **1998U020AD1.US**

Inventors **Gregory T. Whiteker and Jack A. Smith**

Title **Olefin Polymerization Catalysts, Their Production and Use**

Express Mail Label No. **EL888417895US**

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification Total Pages **-24-**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications (if any)
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☐ Drawing(s) (35 U.S.C. 113) Total Sheets **-0-**
4. ☒ Oath or Declaration Total Pages **-2-**
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 C.F.R. § 1.63(d)
(for continuation/division with Box 15 completed)
[Note Box 5 below]
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

ADDRESS TO:

**Commissioner for Patents
Box Patent Application
Washington, D.C. 20231**

5. ☐ Incorporation By Reference (useable if Box 4B is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☒ Power of Attorney (when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449
☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
14. ☐ Other: _____

09/932910
 08/20/01
 11131 U.S. PTO

15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment.

☐ Continuation ☒ **Divisional** ☐ Continuation-in-part (CIP)

of prior applications No: **09/248,147**, from which priority is claimed under 35 U.S.C. § 120

Prior application information: Examiner **L. Choi** Group Art Unit **1713**

16. If a NONPROVISIONAL APPLICATION based upon a foreign or U.S. provisional application, priority is claimed from applications

No. _____, country _____, filed on _____.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name **Univation Technologies, LLC**

Address **5555 San Felipe, Suite 1950**

City **Houston**

State

Texas

Zip Code **77056**

Country **U.S.A.**

Telephone

(713) 892-3729

Fax

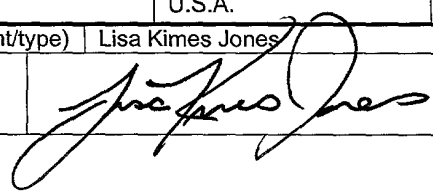
(713) 892-3687

Name (print/type) **Lisa Kimes Jones**

Registration No. (Attorney/Agent)

41,878

Signature



Date

August 20, 2001

FEE TRANSMITTAL

Note: Effective November 10, 1998.
Patent fees are subject to annual revision.

Total Amount of Payment (\$)**998.00**

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: **50-0589**

Deposit Account Name: **Univation Technologies, LLC**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

FEE CALCULATION

1. FILING FEE

| Large Entity Fee Code | Fee Description | Fee \$ | Fee Paid |
|-----------------------|----------------------------|--------|----------|
| 101 | 710 Utility filing fee | | 710.00 |
| 106 | 320 Design filing fee | | |
| 107 | 490 Plant filing fee | | |
| 108 | 710 Reissue filing fee | | |
| 114 | 150 Provisional filing fee | | |
| SUBTOTAL (1) | | | 710.00 |

2. CLAIMS

| | Extra | Fee from below | Fee Paid |
|--|-------|----------------|---------------|
| Total Claims 36 - 20 = 16 x 18 = | | | 288.00 |
| Independent Claims 2 - 3 = 0 x 80 = | | | .00 |
| Multiple Dependent Claims 0 x 270.00 = | | | .00 |

Large Entity

| Fee Code | Fee\$ | Fee Description |
|--------------|-------|---|
| 103 | 18 | Claims in excess of 20 |
| 102 | 80 | Independent claims in excess of 3 |
| 104 | 270 | Multiple dependent claim |
| 109 | 80 | Reissue independent claims over original patent |
| 110 | 18 | Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) | | 288.00 |

Complete if Known

| | |
|------------------------|---------------------------------------|
| Application Number | New Application |
| Filing Date | August 20, 2001 |
| Inventors | Gregory T. Whiteker and Jack A. Smith |
| Group Art Unit | Unassigned |
| Examiner Name | Unassigned |
| Attorney Docket Number | 1998U020AD1.US |

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Fee Code | Large Entity Fee \$ | Fee Description | FEES PAID |
|------------------------------------|---------------------|--|-----------|
| 105 | 130 | Surcharge - late filing fee or oath | |
| 127 | 50 | Surcharge - late provisional filing or cover sheet. | |
| 139 | 130 | Non-English specification | |
| 147 | 2,520 | For filing a request for reexamination | |
| 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | Extension for reply within first month | |
| 116 | 390 | Extension for reply within second month | |
| 117 | 890 | Extension for reply within third month | |
| 118 | 1,390 | Extension for reply within fourth month | |
| 128 | 1,890 | Extension for reply within fifth month | |
| 119 | 310 | Notice of Appeal | |
| 120 | 310 | Filing a brief in support of an appeal | |
| 121 | 270 | Request for oral hearing | |
| 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | Petition to revive - unavoidable | |
| 141 | 1,240 | Petition to revive - unintentional | |
| 142 | 1,240 | Utility issue fee (or reissue) | |
| 143 | 440 | Design issue fee | |
| 144 | 600 | Plant issue fee | |
| 122 | 130 | Petition to the Commissioner | |
| 123 | 50 | Petitions related to provisional applications | |
| 126 | 180 | Submission of information Disclosure Stmt. | |
| 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 148 | 110 | Statutory disclaimer | |
| Other fee (specify) | | | |
| * Reduced by Basic Filing Fee Paid | | | |
| SUBTOTAL (3) | | | |

Typed or Printed Name **Lisa Kimes Jones**

Reg. No. **41,878**

Signature

Date **August 20, 2001**

Deposit Account User ID